

SBA Environmental Questionnaire

Re: Applicant Name: _____

OC Name (if applicant is an EPC): _____

SBA Loan Number (if issued): _____

From: Small Business Growth Corporation

Contact: _____

Phone: _____ Fax: _____ Email: _____

Project Property Address: _____

Current Owner: _____

Current Operator of Site (if different from current owner): _____

Part I - Project Property

1. **Past & Present Use(s) of Project Property:**

a. Past Use(s):

1. Did the past use of the property involve an environmentally sensitive industry? (If yes, please list the NAICS code(s) found in SOP 50 10 6, Appendix 6):

b. Present Use(s):

1. Does the present use of the property involve an environmentally sensitive industry? (If yes, please list the NAICS code(s) found in SOP 50 10 6, Appendix 6):

2. **Hazardous Substances at Project Property:**

a. If the property is being used for the storage, generation, treatment, emission or disposal of hazardous substances, then list these hazardous substance(s) - otherwise mark N/A: _____

1. If yes, are all permits current for the storage, generation, treatment, emission or disposal of hazardous substances at the property? If not, please explain: _____

b. List any other hazardous substances identified, either in the past or present, as being at, on, in, into, under, above, from, or about the property. If none, mark N/A: _____

3. **Evidence of Contamination:** Is there any evidence of contamination* at the property? If yes, please explain:

4. **Potential Sources of Contamination:** Are there potential sources of contamination* at the property? If yes, please explain:

5. **Past Evidence of Contamination:** Does the borrower, seller, or CDC know of any past evidence of contamination * or sources of contamination at the property?

6. **Lawsuits or Administrative Proceedings for Release of Hazardous Substances:** Does the borrower, seller or CDC know of any past, threatened or pending lawsuits or administrative proceedings concerning a release or threatened release of hazardous substances at the property? If yes, please explain:

7. **Regulatory Actions by Governmental Entity Involving Property:** Are there, or have there been, any regulatory actions by any governmental entity for environmental conditions at the property? If yes, please explain:

8. **Previous Environmental Risk Studies:** Are there any previously performed environmental risk studies pertaining to the property? If yes, please provide copies.

9. **Lead-Based Paint, Asbestos or PCBs:** Is there known lead-based paint, asbestos or polychlorinated biphenyls (PCBs) present at the property? If yes, please explain.

Part II - Adjoining Properties

The following must be completed for each adjoining property, the border of which is shared in part or in whole with the Collateral (project) Property, or that would be shared with the Collateral Property but for a street, road or other public thoroughfare separating the properties

1. **Past and Present Uses of Adjoining Properties:**
 - a. Past Use(s):
North: _____
South: _____
East: _____
West: _____
 1. Are you aware of any past use(s) of the property(ies) involving an environmentally sensitive industry? If yes, list NAICS code(s) from SOP 50 10 6, Appendix 6: _____
 - b. Present Use:
North: _____
South: _____
East: _____
West: _____
 1. Are you aware of any present use(s) of the property(ies) involving an environmentally sensitive industry? If yes, list NAICS code(s) from SOP 50 10 6, Appendix 6: _____
2. **Hazardous Substances at Project Property:**
 - a. If the property is being used for the storage, generation, treatment, emission or disposal of hazardous substances, then list these hazardous substance(s) - otherwise mark N/A: _____

1. If yes, are all permits current for the storage, generation, treatment, emission or disposal of hazardous substances at the property? If not, please explain:

b. List any other hazardous substances identified, either in the past or present, as being at, on, in, into, under, above, from, or about the property. If none, mark N/A

3. **Evidence of Contamination:** Is there any evidence of contamination * at the surrounding properties that you are aware of? If yes, please explain:

4. **Potential Sources of Contamination:** Are there potential sources of contamination * at the surrounding properties that you are aware of? If yes, please explain:

5. **Past Evidence of Contamination:** Does the borrower, seller, or CDC know of any past evidence of contamination * or sources of contamination at the surrounding properties that you are aware of?

6. **Lawsuits or Administrative Proceedings for Release of Hazardous Substances:** Does the borrower, seller or CDC know of any past, threatened or pending lawsuits or administrative proceedings concerning a release or threatened release of hazardous substances at the surrounding properties that you are aware of? If yes, please explain:

7. **Regulatory Actions by Governmental Entity Involving Property:** Are there, or have there been, any regulatory actions by any governmental entity for environmental conditions at the surrounding properties that you are aware of? If yes, please explain:

The undersigned owner(s) and/or operator(s) acknowledge(s) and agree(s) that intentionally falsifying or concealing any material fact with regard to the subject matter of this Environmental Questionnaire may, in addition to other penalties, result in prosecution under applicable law including 18 U.S.C. Section 1001.

Owner's Signature: _____ Date: _____

Operator of Site's Signature: _____ Date: _____

I affirm that I have made at least one site visit to the property:

Lender's Signature: _____ Date: _____

**Sources of contamination may include, but are not limited to, the following: (1) damaged or discarded automotive or industrial batteries; (2) pesticides, paints, or other chemicals stored in individual containers greater than 5 gallons in volume or 50 gallons in the aggregate; (3) chemicals in industrial drums or sacks; (4) pits, ponds or lagoons used for waste disposal or storage; (5) fill dirt from a contaminated or unknown source; (6) underground or above-ground storage tanks; (7) vent pipes, fill pipes or access ways indicating a fill pipe protruding from the ground; (8) flooring drains or walls within a facility that are stained by substances other than water and/or are emitting noxious odors; (9) clarifiers, pits or sumps; (10) dry wells.*